



AF 2142
RW

PATENT
Attorney Docket No. 201385
Client Reference No. 131356.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LAMB et al.

Group Art Unit: 2142

Application No. 09/489,629

Examiner: VU, THONG H.

Filed: January 24, 2000

For: NETWORK ACCESS CONTROL
USING NETWORK ADDRESS
TRANSLATION

CERTIFICATE OF MAILING

I hereby certify that this RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date 4-11-05 Signature Susan Matz

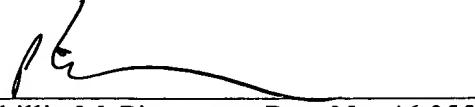
RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Mail Stop Appeal Brief - Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith an Information Disclosure Statement that was previously filed June 6, 2000. This Information Disclosure Statement is being resubmitted because the Examiner indicated that it is not of record. We enclose a copy of the Information Disclosure Statement and 1449 form from the original filing of the Information Disclosure Statement. We also are enclosing copies of all cited references.

Respectfully submitted,


Phillip M. Pippenger, Reg. No. 46,055
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: April 11, 2005



PATENT
Attorney Docket No. 201385

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lamb et al.

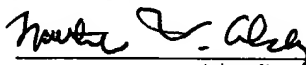
Serial No. 09/489,629

Group Art Unit: 2771

Filed: January 24, 2000

Examiner:

For: NETWORK ACCESS CONTROL
USING NETWORK ADDRESS
TRANSLATION

| <u>CERTIFICATE OF MAILING</u> |
|---|
| I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on June 6, 2000. |
|  (signed) |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ (a) within three months of the filing date of the patent application, (b) within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application, or (c) before the mailing date of a first Office Action on the merits.
- ☐ after (a), (b), or (c) above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes:
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below).
- ☐ the fee of \$230 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ after the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), a Petition requesting consideration of the Information Disclosure Statement (see "Petition" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" below).

Copies Of The References

- ☒ Copies of certain references listed on the enclosed Form PTO-1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 C.F.R. § 1.98(a)(3).
- ☐ A copy of the foreign search report is enclosed herewith.
- ☐ All references listed on the enclosed Form PTO-1449 were previously identified in the parent application) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 C.F.R. § 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 U.S.C. § 120 in which copies of the references were previously furnished are set out below:

| U.S. APPLICATIONS | | Status (<i>check one</i>) | | |
|-------------------|------------------|-----------------------------|---------|-----------|
| U.S. APPLICATIONS | U.S. FILING DATE | PATENTED | PENDING | ABANDONED |
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |

Other Applications

- ☐ The Examiner's attention is directed to the following U.S. patent application(s):

| U.S. APPLICATIONS | | Status (<i>check one</i>) | | |
|-------------------|------------------|-----------------------------|---------|-----------|
| U.S. APPLICATIONS | U.S. FILING DATE | PATENTED | PENDING | ABANDONED |
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |

Certification

- ☐ The undersigned attorney hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The undersigned attorney hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Petition

- ☐ The undersigned attorney hereby petitions the Commissioner to consider this Information Disclosure Statement and the references listed on the enclosed Form PTO-1449 in the examination of the above-identified patent application.

Fees

- ☒ No fee is owed by the applicant(s).
- ☐ The IDS Fee of \$230.00 under 37 C.F.R. § 1.17(p) is enclosed herewith.
- ☐ The Petition Fee of \$130.00 set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.

Method Of Payment Of Fees

- ☐ Attached is a check in the amount of \$ 230.00.
- ☐ Charge Deposit Account No. 12-1216 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

In re Application of Lamb et al.
Serial No. 09/489,629

Authorization To Charge Additional Fees

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

Instructions As To Overpayment

- ☒ Credit Account No. 12-1216.
☐ Refund

Date: June 6, 2000



Phillip M. Pippenger, Reg. No. 46,055
One of the Attorneys for Applicant(s)
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
201385SERIAL NO.
09/489,629INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
Lamb et al.

(Use several sheets if necessary)

FILING DATE
January 24, 2000GROUP
2771

U.S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT NUMBER | ISSUE DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------------|------------------|------------|------|-------|----------|----------------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|--------------------|---------------------|---------|-------|----------|-------------|-----|
| | | | | | | YES | NO* |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|---|
| AA | <i>The Original Internet Rating System</i> , http://www.safesurf.com |
| AB | <i>History in the Making</i> , http://www.safesurf.com/time/htm |
| AC | <i>Internet Content Rating Association</i> , http://www.icra.org/support/faqs/html |
| AD | <i>Content Advisor</i> , http://www.microsoft.com/windows/ie/ratings/asp |
| AE | Hibbard, J., "Monitoring Employee Access to the Web", <i>Computerworld</i> , December 9, 1996, page 71 |
| AF | Mather, M., "Exploring the Internet Safely - What Schools Can Do", <i>Technology and Learning</i> , Vol, 17, No. 1, page 38, September 1996 |
| AG | Hudgins-Bonafield, C., "Filtering Knowledge on the Net Just Got Simpler", <i>Network Computing</i> , No 709, page 22, 1996 |
| AH | "VPN:Lucent Adds Leading Anti-Virus and Content Filtering Software to Lucent VPN Gateway and Lucent Managed Firewall" <i>EDGE: Work Group Computing Report</i> , March 22, 1999 |
| AI | "Internet Access: Net Nanny and SafeSurf Merge Technologies", <i>EDGE: Work Group Computing Report</i> , Vol. 8, page 25, February 24, 1997 |
| AJ | "McAfee to Offer Server-Based Internet Guards", <i>Newsbytes</i> , October.3, 1997 |
| AK | Angel, J., "Lesson 129:Proxy Servers", <i>Network</i> , April 1, 1999 |
| AL | <i>Net Nanny User Guide</i> , Net Nanny Ltd., 1994-1997 |
| AM | Comer, D. <i>Internetworking with TCP/IP Vol. 1: Principles, Protocols, and Architecture</i> , Prentice Hall, Englewood Cliffs, NJ, 1991 |

EXAMINER

DATE CONSIDERED